



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

December 3, 2018

Mr. Timothy Stoner
Nuveen Securities, LLC
333 W. Wacker Dr.
Chicago IL 60606

Reference: **FX2018-1130-0106/B**
Link Reference : FR2018-1130-0098

Org Id: 469

1. 529 Awareness Poster_Astronaut (#ADMASTER-678788)
Rule: MSRB
1 Page

Total Fee: \$600

Dear Mr. Stoner,

The communication submitted appears consistent with applicable standards.

Please be advised that it is the member's responsibility to ensure that the official statement at the bottom of the page is presented in a type size at least as large as and of a style different from but at least as prominent as, that used in the major portion of the advertisement, pursuant to MSRB Rule G-21 (e)(4)(a)(i).

Reviewed by,

Caroline J. Waugaman
Manager

cjw

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*

Investor protection. Market integrity.

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Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.