MI529advisor

Asset Rebalancing Form

For Investors Utilizing a Financial Advisor
Questions? Call 1-866-529-8818
P.O. Box 219295 Kansas City, MO 64121-9295
Monday – Friday, 8:30 a.m. – 6:00 p.m. ET

Important Information

- You may rebalance or otherwise reallocate Account assets among the Investment Portfolios twice per calendar year or upon a change in Designated Beneficiary to a Family Member of the current Designated Beneficiary.
- Assets reallocated from one Investment Portfolio to another will be used to purchase the same class of Units as the Units being redeemed.

1 Current Account Information *(You must provide complete information.)*

MI 529 Advisor Plan (MAP) Account Number

Telephone Number - -

Account Owner Name (First, MI, Last, Suffix or Entity Name)

Account Owner Email Address

Designated Beneficiary Name (First, MI, Last, Suffix)

2 Rebalance Instructions *(You must complete this section)*

This Section applies only to assets currently in your Account; it will not change your standing Allocation Instructions for future contributions. If you do not have standing Allocation Instructions, or if you want to change your standing Allocation Instructions, please complete Section 3.

First, provide information about each Investment Portfolio from which assets will be rebalanced or otherwise reallocated.

- To reallocate all of your assets invested in an Investment Portfolio, enter the total amount of dollars, write the word "ALL," or write "100%" in the "Rebalance FROM" column.
- To reallocate a portion of your assets invested in an Investment Portfolio, enter the specific amount of dollars or percentage in the "Rebalance FROM" column.
- Indicate the class of Units to be redeemed in the "Rebalance FROM" column.

Then, enter a percentage next to each Investment Portfolio to which the assets will be rebalanced or otherwise reallocated in the "Rebalance TO" column. The total amount in the "Rebalance FROM" column will be used to purchase Units in the Investment Portfolio(s) selected in the "Rebalance TO" column based upon the indicated percentage(s). The class of Units being redeemed will be the same class of Units purchased.

Rebalance FROM *(dollar amount OR percentage invested in the Investment Portfolio to be rebalanced)*			**Investment Portfolio Name**			**Rebalance TO** *(percentage only)*
Dollars or ALL	**Percentage**	**Class of Units to be Redeemed**				
	%		Age-Based Investment Portfolio	*Age-Based*	*Age-Based*	%
	%		Capital Appreciation Portfolio	*Fund 6518*	*Fund 6519*	%
	%		Conservative Allocation Portfolio	*Fund 6520*	*Fund 6521*	%
	%		Oakmark International Portfolio	*Fund 6522*	*Fund 6523*	%
	%		Santa Barbara Dividend Growth	*Fund 6524*	*Fund 6525*	%
	%		Harding Loevner Global Equity	*Fund 6526*	*Fund 6527*	%
	%		Nuveen Strategic Income Portfolio	*Fund 6528*	*Fund 6529*	%
	%		Nuveen Inflation-Linked Portfolio	*Fund 6530*	*Fund 6531*	%
	%		Principal Plus Interest Portfolio	*Fund 6532*	*Fund 6533*	%
	%		MetWest Total Return Bond Portfolio	*Fund 6534*	*Fund 6535*	%
	%		TIAA Large Cap U.S. Equity Index Portfolio	*Fund 6536*	*Fund 6537*	%
	%		TIAA U.S. Small Cap Portfolio	*Fund 6538*	*Fund 6539*	%
	%		TIAA Large Cap Value Portfolio	*Fund 6540*	*Fund 6541*	%
	%		Harbor Capital Appreciation Portfolio	*Fund 6542*	*Fund 6543*	%
	%		Ariel Portfolio	*Fund 6544*	*Fund 6545*	%

	%		DFA Emerging Markets Portfolio	Fund 6546	Fund 6547	%
	%		Nuveen Alternative Income Portfolio	*Fund 6548*	*Fund 6549*	%
	%		TIAA Social Choice Equity Portfolio	*Fund 6550*	*Fund 6551*	%
	%		TIAA Social Choice Bond Portfolio	*Fund 6552*	*Fund 6553*	%
	%		Nuveen Real Asset Income Portfolio	*Fund 6554*	*Fund 6555*	%
					TOTALL	**100%**

3 Allocation Instructions for Future Contributions *(Optional)*

Establish or change my Allocation Instructions as indicated below for my future contributions:

☐ Use the information set forth in Section 2 as my standing Allocation Instructions for future contributions. *(No additional information is required to complete this Section. Future contributions will be used to purchase the same Units in the same Investment Portfolio(s), and in the same percentage(s), as indicated under the "Rebalance To" column of Section 2.)*

☐ Establish or change my Allocation Instructions as indicated below for my future contributions. (*Provide the information requested below.)*

- Use a whole percentage next to each Investment Portfolio below. The TOTAL of all allocations must equal 100%.
- MAP will apply these allocation instructions to future Automatic Contribution Plan (ACP) contributions.
- These allocation instructions will not apply to payroll contributions, if any.

You can change your Allocation Instructions online, by telephone, or by form at any time.

Select the Class of Units to be purchased:

☐ Class A Units with initial sales charge.

☐ Class C Units with contingent deferred sales charge.

☐ Class A Units with waived initial sales charge. *(Please indicate reason below.)*

- ☐ Current or retired employee or director of eligible dealer/firm, or immediate family member of any such person *(identify dealer/firm below)*
- ☐ Fee-based Account
- ☐ Employee of Selling Institution or immediate family member of any such person *(identify dealer/firm below):* By checking this box, I certify that I am an employee for at least the last 90 days of a Selling Institution, or an immediate family member of any such person, and therefore qualify to purchase Class A Units at Net Unit Value as outlined in the Disclosure Booklet and the Participation Agreement.

Dealer/Firm Name

Select Portfolios to be purchased:

Investment Portfolio			**Whole Percentage** *(per Investment Portfolio)*
Share Class and Fund	***Class A***	***Class C***	
Age-Based Investment Portfolio	*Age-Based*	*Age-Based*	%
Capital Appreciation Portfolio	*Fund 6518*	*Fund 6519*	%
Conservative Allocation Portfolio	*Fund 6520*	*Fund 6521*	%
Oakmark International Portfolio	*Fund 6522*	*Fund 6523*	%
Santa Barbara Dividend Growth	*Fund 6524*	*Fund 6525*	%
Harding Loevner Global Equity	*Fund 6526*	*Fund 6527*	%
Nuveen Strategic Income Portfolio	*Fund 6528*	*Fund 6529*	%
Nuveen Inflation-Linked Portfolio	*Fund 6530*	*Fund 6531*	%
Principal Plus Interest Portfolio	*Fund 6532*	*Fund 6533*	%
MetWest Total Return Bond Portfolio	*Fund 6534*	*Fund 6535*	%
TIAA Large Cap U.S. Equity Index Portfolio	*Fund 6536*	*Fund 6537*	%
TIAA U.S. Small Cap Portfolio	*Fund 6538*	*Fund 6539*	%
TIAA Large Cap Value Portfolio	*Fund 6540*	*Fund 6541*	%
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Ariel Portfolio	*Fund 6544*	*Fund 6545*	%
DFA Emerging Markets Portfolio	*Fund 6546*	*Fund 6547*	%
Nuveen Alternative Income Portfolio	*Fund 6548*	*Fund 6549*	%
TIAA Social Choice Equity Portfolio	*Fund 6550*	*Fund 6551*	%
TIAA Social Choice Bond Portfolio	*Fund 6552*	*Fund 6553*	%
Nuveen Real Asset Income Portfolio	*Fund 6554*	*Fund 6555*	%
		TOTAL	**100%**

4 Systematic Exchange *(Optional)*

You may automatically rebalance or otherwise reallocate funds from the Principal Plus Interest Portfolio to one or more different Investment Portfolio(s) on a periodic basis, on a date selected by you, by completing this Section. For more detailed information about this feature, please see the Disclosure Booklet. The systematic exchanges will begin on the first exchange day (Exchange Day), selected by you below, after receipt and acceptance of this form in good order. If an Exchange Day is a weekend or holiday, the exchange will occur on the next business day. If an exchange frequency of quarterly is selected, the systematic exchanges will occur in March, June, September, and December. Semi-Annual exchanges will take place in June and December. Annual exchanges will take place in December.

Exchange Frequency

☐ Monthly	☐ Quarterly	☐ Semi-Annually	☐ Annually

Recipient Investment Portfolio	Dollar Amount

Day of the Month for Exchanges *(Select date between 1st and 31st)* _____ **Stop Date** ___/___/___

Establishing, altering, or stopping your Systematic Exchange will be considered one of the two investment reallocations allowed per calendar year (excluding establishment at the time of Account opening).

5 Signature and Authorization *(This section must be signed for this change to take effect.)*

By signing this form, I authorize the redemption and purchase of Units in the identified Investment Portfolio(s) as indicated in Section 2 and I acknowledge the following:

- I understand that a rebalance or reallocation of funds among the Investment Portfolios for my Account can only be requested twice per calendar year or upon a change of the Designated Beneficiary to a Member of the Family of the then current Designated Beneficiary.
- If I am participating in the Automatic Contribution Plan (ACP), I understand that my contributions will continue into my previously designated Investment Portfolio(s) unless an updated *Account Information Change Form* accompanies this form or I have provided new standing Allocation Instructions in Section 3 of this form.
- I understand that this transfer of funds will become effective upon MAP's receipt of all required documentation in good order.
- The purchased Units will retain the same holding-period characteristics as the redeemed Units with respect to any applicable CDSC (or, for Class C Units, future conversion to Class A Units).
- If Class A Units in the Principal Plus Interest Portfolio are exchanged for Units in another Investment Portfolio, the exchange will be subject to an initial sales charge unless an initial sales charge was previously paid on the Class A Units being exchanged.

I certify that I am the Account Owner, or I have the authority to act as the Account Owner.

Signature of Account Owner or Authorized Representative of Entity	*Date*

Mail This Form To:
Via regular mail:

MI 529 Advisor Plan
PO Box 219295
Kansas City, MO 64121-9295

Via overnight courier:

MI 529 Advisor Plan
c/o DST
430 W 7th Street Suite 219295
Kansas City, MO 64105-1407